# **First District Court of Appeal**

This document contains the responses of the First District Court of Appeal to the questions we included in a survey regarding the services that the Administrative Office of the Courts (AOC) indicates providing to the courts. Our survey asked each of the trial courts, the courts of appeal, and the Supreme Court to indicate which services that each court has used. In addition, our survey asked each court to indicate whether or not it values each service. Other questions in the survey asked about the quality of the services that AOC provides and the importance of the services to the court's operations.

We originally administered our survey to the trial courts only. We later sent the survey to the six courts of appeal and to the Supreme Court, in response to a request by one court of appeal that these courts be included. Because we used the original survey instrument for this purpose, which we tailored to the trial courts, many of the services in the survey instrument did not apply to the Supreme Court and the courts of appeal. However, these courts responded to some questions that were not applicable to them. Specifically, only the following 163 services **do** apply to the courts of appeal:

1	38	89	119	191	220
5	39	90	132	192	222
7	40	91	134	193	225
9	41	92	135	194	238
10	42	93	136	195	243
11	43	94	137	196	244
12	44	95	139	197	245
13	45	96	140	198	246
14	46	97	143	199	249
15	47	98	145	201	252
16	48	99	146	202	255
17	49	100	147	203	256
18	50	101	149	204	257
19	51	103	150	205	260
20	52	104	151	206	262
21	53	105	154	207	263
22	68	106	155	208	265
23	69	107	157	209	266
24	70	109	158	210	272
25	71	110	159	211	273
26	72	111	160	212	275
27	73	112	161	213	277
28	74	113	164	214	278
29	75	114	165	215	
30	76	115	166	216	
32	78	116	187	217	
36	80	117	189	218	
37	88	118	190	219	

California State Auditor



# **First District Court of Appeal**

Finally, we sent an addendum to our survey to the First District Court of Appeal, containing services that apply to the courts of appeal, but that do not apply to the trial courts, and thus did not appear in our original survey instrument. The court's response to this addendum appears on page 24.

For a copy of the survey instrument please follow this <u>link</u>.

# **Q6**: Have cuts to your budget made this group of services, overall, more important or less important to your operations?

(no label)

No Change

# Additional Comments

The appellate courts website has a Self-Help section that the AOC has provided for us. We consider this a valuable service that the AOC provides for our pro per litigants. We believe that many people use it since most pro per litigants generally seem to be able to follow the appellate procedures when filing documents with our court.

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Have used this service, Consider service to be valuable		
Have used this service, Consider service to be valuable		
Have used this service, Consider service to be valuable		
Q8: Please select the rating that best reflects the overall quality of service that you have received for this group of services. If you have not used any of the above services, please select the "no opinion" option.		
Excellent		
Q9: How important, overall, is this group of services to your trial court operations?		
Very Important		
Q10: Have cuts to your budget made this group of services, overall, more important or less important to your operations?		
No Change		
Additional Comments Our court has consulted with the Audit Services department on a number of occasions. We had requested that they perform an internal audit on our court operations several years ago to ensure that we were handling our cash and checks correctly. They performed a very thorough audit and came back to us with a number of suggestions for us to implement going forward. They provide special non-audit consultative work that does not result in an audit report. They have been asked to make recommendations relating to controls and operations. They provide technical evaluation, assessment and analytical assistance utilizing expertise in all of the financial, operational and compliance areas of the judicial branch.		

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Q11: Capital Projects and Facilities Services	
23. Development and implementation of property and commercial insurance programs for judicial branch entities, and as requested assistance with their property and liability insurance needs	Have used this service, Consider service to be valuable
25. Subject matter expertise on health and safety issues and technical assistance relating to fire prevention	Have used this service, Consider service to be valuable
26. Operations and maintenance of court facilities which includes physical, financial, and contractual management and delivery of routine operations and maintenance services, and provision of utilities and insurance	Have used this service, Consider service to be valuable
29. Negotiation and administration of AOC and court- funded leases, licenses, and other occupancy, and renegotiation of leases to generate space reduction and rent savings	Have used this service, Consider service to be valuable
Q12: Delivery of professional project management and	d related services for capital projects, including:
38. Architectural and engineering design services	Have used this service, Consider service to be valuable
41. Functional and space planning and programming	Have used this service, Consider service to be valuable
44. Construction execution delivery including commissioning services	Have used this service, Consider service to be valuable
45. Completion and occupancy and transition planning	
	Have used this service, Consider service to be valuable
Q13: Establishment and implementation of policies fo	be valuable

Q14: Please select the rating that best reflects the overall quality of service that you have received for this group of services. If you have not used any of the above services, please select the "no opinion" option.

(no label)

Excellent

Q15: How important, overall, is this group of services to your trial court operations?

(no label)

Very Important

# Q16: Have cuts to your budget made this group of services, overall, more important or less important to your operations?

(no label)

No Change

### Additional Comments

We used the services of this group extensively when DGS had proposed selling some of the state buildings. one of which was ours. We also completely depended upon this group for architectural and engineering design services when we moved the court from Marathon Plaza back to the state building at 350 McAllister Street in San Francisco. They also provided space planning and helped with numerous contracts as well as negotiate with numerous vendors on our behalf. They were instrumental in organizing the actual move itself. Facility Operations serves the Supreme Court and the Court of Appeal by planning, oversight and, as necessary, direction of work provided in our building. They work with our courts to provide assistance with any type of facility projects and planning, including but not limited to all repairs, modification and other improvements and to oversee and coordinate work plans and schedules to assure that court business and service to the public proceed with minimal or no interruption and that projects are planned, executed and completed on time and within existing budget constraints. They work collaboratively with DGS managers and staff to prevent or mitigate impacts of building operations on the operations of the courts. In addition, they perform facility inspections and evaluates existing conditions that need to be reported to building management. They attend monthly meetings with the courts and DGS to assist in planning building maintenance and advocate on our behalf. They follow-up on service requests that have not been completed in a timely manner. This group has provided the appellate courts with information regarding assistance in obtaining an insurance program and made recommendations as to the insurance policy to cover the courts' assets.

## PAGE 8: Section 3: Evaluation

Q17: Collaborative Courts Services	Respondent skipped this question
•	s the overall quality of service that you have received ed any of the above services, please select the "no
(no label)	No Opinion
Q19: How important, overall, is this group of	services to your trial court operations?
(no label)	Neutral
Q20: Have cuts to your budget made this group to your operations?	up of services, overall, more important or less important

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Q21: Communications Services	
70. Research, drafting and distribution of the weekly email briefing (Court News Update) on judicial administration and related topics, and urgent updates and briefings as requested (Court News Alerts, Court News Briefs) to the judicial branch	Have used this service, Consider service to be valuable
73. Management and content updates on the California Courts, Serranus, and AOC Intranet websites for programs, projects, and initiatives	Have used this service, Consider service to be valuable
74. Consultation and counsel on media strategies for programs, projects, and initiatives	Have used this service, Consider service to be valuable
75. Research and response to inquiries from the courts, media, and the public, as well as tracking and reporting on interactions	Have used this service, Consider service to be valuable
76. Input on strategy for news releases, drafting and dissemination and monitoring and reporting on coverage	Have used this service, Consider service to be valuable
Q22: Please select the rating that best reflects the ov for this group of services. If you have not used any o opinion" option.	
(no label)	Excellent
(no label)	
(no label) Q23: How important, overall, is this group of service	<b>s to your trial court operations?</b> Very Important
<ul> <li>(no label)</li> <li>Q23: How important, overall, is this group of service (no label)</li> <li>Q24: Have cuts to your budget made this group of service (no label)</li> </ul>	<b>s to your trial court operations?</b> Very Important
<ul> <li>(no label)</li> <li>Q23: How important, overall, is this group of service (no label)</li> <li>Q24: Have cuts to your budget made this group of set to your operations?</li> </ul>	s to your trial court operations? Very Important ervices, overall, more important or less important No Change s releases. They help to coordinate news releases raphy activities. They assist in responses to the
<ul> <li>(no label)</li> <li>Q23: How important, overall, is this group of service (no label)</li> <li>Q24: Have cuts to your budget made this group of set to your operations?</li> <li>(no label)</li> <li>Additional Comments This group assists the court when we need to issue press to the media; web updates and audio, video and photogr public on issues of high-profile cases or sensitive operations</li> </ul>	s to your trial court operations? Very Important ervices, overall, more important or less important No Change s releases. They help to coordinate news releases raphy activities. They assist in responses to the

Q26: Please select the rating that best reflects the overall quality of service that you have received for this group of services. If you have not used any of the above services, please select the "no opinion" option.		
(no label)	No Opinion	
<b>Q27: How important, overall, is this group of services</b> (no label)	to your trial court operations? Neutral	
Q28: Have cuts to your budget made this group of ser to your operations?	vices, overall, more important or less important	

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## Q29: Education and Training Services

88. Judicial ethics training as required for participants in the Commission on Judicial Performance Insurance Program

93. Statewide broadcasts for Trial and Appellate Court judicial officers, CEOs and court staff

95. Development of customized training for the courts (e.g., judicial education, management training, cultural competency training)

98. Development of online educational resources for judges, court staff, supervisors and managers

99. Technical support and video production for Supreme Court outreach and oral argument, Commission of Judicial Appointments hearings, Chief Justice or judicial argument, Commission of Judicial Appointments hearings, Chief Justice or Judicial Council communications, and provision of AV signals to rooms for the public and media

100. Judicial publications: Benchguides, Bench Handbooks, Benchbooks, Civil Proceedings Benchbooks

103. Job Aids for court staff, supervisors, and managers

104. Web Ex programmatic instructional support

105. Faculty development program, webinars and online resources to prepare and support statewide and local court faculty

106. Meeting planning, registration and conference services for all education programs, Judicial Council meetings and other AOC meetings

107. Audio visual support for education and meetings in conference centers, off-site education and outreach, including audio for public broadcast of Judicial Council Have used this service, Consider service to be valuable

Have used this service, Consider service to be valuable

Have used this service, Consider service to be valuable

Have used this service, Consider service to be valuable

Have used this service, Consider service to be valuable

Have used this service, Consider service to be valuable

Have used this service, Consider service to be valuable

Have used this service, Consider service to be valuable

Have used this service, Consider service to be valuable

Have used this service, Consider service to be valuable

Have used this service, Consider service to be valuable

#### Q30: Statewide training for new Judicial Officers, including:

109. New Judge Orientation	Have used this service, Consider service to be valuable
111. B.E. Witkin Judicial College	Have used this service, Consider service to be valuable

Q31: Statewide education for experienced Judicial Officers and Judicial Attorneys, including:		
112. Appellate Justices Institute	Have used this service, Consider service to be valuable	
114. Qualifying Ethics Training	Have used this service, Consider service to be valuable	
115. Complex Civil and Advanced Civil	Have used this service, Consider service to be valuable	
116. California Environmental Quality Act (CEQA)	Have used this service, Consider service to be valuable	
119. Institutes for Appellate and Trial Court Attorneys	Have used this service, Consider service to be valuable	
Q32: Statewide Education for Judicial Leaders, including:	Respondent skipped this question	
Q33: Please select the rating that best reflects the over for this group of services. If you have not used any of opinion" option.		
(no label)	Eveellent	
(no label)	Excellent	
Q34: How important, overall, is this group of services		
Q34: How important, overall, is this group of services	<b>to your trial court operations?</b> Very Important	
Q34: How important, overall, is this group of services (no label) Q35: Have cuts to your budget made this group of ser	<b>to your trial court operations?</b> Very Important	
Q34: How important, overall, is this group of services (no label) Q35: Have cuts to your budget made this group of ser to your operations?	to your trial court operations? Very Important vices, overall, more important or less important Much more important onnel and identifies education needs, develops logistics for Qualifying Ethics Training as well as nental in providing Advanced Judicial Education courts. In addition they develop programs for court e Training Coordinator Network for the appellate r the courts website. They present training es. They also provide audio/video support for coordinate and schedule appropriate meeting r building. They manage catering contractors, e Milton Marks Conference Center. They provide	

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Q36: Family Services Respondent skipped this question

(no label)	No Opinion
Q38: How important, overall, is this group of services	to your trial court operations?
(no label)	Neutral
Q39: Have cuts to your budget made this group of se to your operations?	rvices, overall, more important or less important
(no label)	No Change
GE 13: Section 3: Evaluation	
Q40: Fiscal Services	
132. Budgeting	Have used this service, Consider service to be valuable
134. Payroll and controller services	Have used this service, Consider service to be valuable
135. Master contracts/procurement assistance	Have used this service, Consider service to be valuable
136. Financial Management - accounting and reporting	Have used this service, Consider service to be valuable
137. Accounts Payable support	Have used this service, Consider service to be valuable
138. Trust Accounting support	Have used this service, Consider service to be valuable
139. Financial policies and procedures	Have used this service, Consider service to be valuable
140. Fiscal training and assistance	Have used this service, Consider service to be valuable
143. Provision and maintenance of financial information available through the judicial branch website	Have used this service, Consider service to be valuable

(no label)

Excellent

### Q42: How important, overall, is this group of services to your trial court operations?

(no label)

Very Important

# Q43: Have cuts to your budget made this group of services, overall, more important or less important to your operations?

(no label)

Somewhat more important

## Additional Comments

The appellate courts use many facets of fiscal services: Office of Budget Management and the Office of Accounting and Business Services. This department provides us with budget development, and works with the appellate courts on developing budget priorities. They send representatives to testify at legislative hearings and meets with legislative staff on our behalf. They assist us in preparing budget projections and provide us with budgetary reports and analysis. They participate in decision making along with the Chief Justice and the Administrative Presiding Justices on budgetary concepts and court allocations. They provide us with analysis on current state of California budget situation and make recommendations on strategic planning for each fiscal year. They provide us with estimates on future revenue projections. The appellate courts are extremely dependent upon the Accounting Office and the Business Services Office. The accounting office reviews our invoices to ensure proper payments are made and the terms and conditions of payment are met. They submit claim schedules to the State Controller's Office for processing payments. They process all travel claims, set up vendor accounts, and answer questions on business travel. They maintain the database for all financial transactions and send out monthly reports to the appellate courts. They provide us with accounting advice and gives us sound advice as questions arise about purchasing. In addition, they are our only link to the state Controller's Office. In addition, the Accounting Office monitors and approves the state-wide CHP contract, and the Guardsmark contract. They make recommendations regarding Worker's Compensation funding allocations and staff AIDOAC meetings as the fiscal consultant. They monitor the courts bank accounts and remits cash receipts to the state controller's office. They provide us with vendor payment reports. Business Service Group - this group greatly assists the appellate courts in obtaining competitive pricing on goods and services. This group prepares the Court Appointed counsel administrator contracts. They administer the Calcard program and keep us informed of master pricing agreements and master contracts. They do bulk bidding to include the appellate courts resulting in significant savings to the courts. They enter encumbrances in Oracle. They advise the appellate courts on contracts, requests for proposals, bidding and proprietary contracting. The appellate courts don't have adequate staffing to conduct RFP's for large purchases without assistance from this group to advise us. They provide us with training on the Judicial Branch Contracting Manual and answer technical questions for the courts. This is an area where they need greater resources (more people) to meet the demand of the appellate courts. In addition, they manage the phone system for our appellate court. Property Management Accounting Services - this group maintains the fixed assets system and keeps track of all of the capitol assets owned by the appellate courts.

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Q44: Human Resources Services	
145. Employee relations/investigations/progressive discipline/leave management	Have used this service, Consider service to be valuable
146. Judicial payroll and benefits	Have used this service, Consider service to be valuable
147. Pay and benefits management and administration for employees	Have used this service, Consider service to be valuable
149. Recruitment, classification and compensation assistance	Have used this service, Consider service to be valuable
150. Judicial Branch Workers' Compensation program oversight and administration	Have used this service, Consider service to be valuable
151. Integrated Disability Management	Have used this service, Consider service to be valuable

Q45: Please select the rating that best reflects the overall quality of service that you have received for this group of services. If you have not used any of the above services, please select the "no opinion" option.

(no label)

Excellent

Q46: How important, overall, is this group of services to your trial court operations?

(no label)

Very Important

# Q47: Have cuts to your budget made this group of services, overall, more important or less important to your operations?

(no label)

Much more important

Additional Comments

This office administrates all pay and benefits management for the appellate courts justices and staff. They provide answers to classification questions, payroll questions, benefit questions and retirement questions. They provide us with information and recommendations as to disability issues, reasonable accommodation requests and the interactive process with our employees. They ensure that we are compliant with state and federal laws pertaining to all of the above-referenced areas. this office provides support for our recruitments and keeps the website current as to these recruitments. They track all of the appellate court employees leave balances and sends us monthly reports on same. On occasion, the courts have issues that require assistance in responding to difficult personnel situations and we contact HR for appropriate advice. They apprise us of latest developments and laws in the HR area to make sure we are in compliance with areas like Whistleblower issues, medical and disability leave, worker's compensation, and provide assistance with ergonomic issues. In addition, they provide pay and classification studies and keep the Personnel and Policies Manual in compliance with laws. They provide assistance with Public Access Requests for Information that are coming to the appellate courts more frequently.

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Q48: Information Technology Services	
157. Appellate Court Case Management System (ACCMS)	Have used this service, Consider service to be valuable
158. Court Appointed Counsel Program (Supreme Court and District Courts of Appeal – Court Appointed Counsel Systems)	Have used this service, Consider service to be valuable
159. California Courts Technology Center (CCTC) including disaster and security services and data integration services	Have used this service, Consider service to be valuable
160. Network hosting, security, and support	Have used this service, Consider service to be valuable
161. Technology hardware updates program	Have used this service, Consider service to be valuable
164. Development and maintenance of the judicial branch public website, Serranus, and other judicial branch websites	Have used this service, Consider service to be valuable
166. Programmatic, technical and logistical support for WebEx programs	Have used this service, Consider service to be valuable
Q49: Please select the rating that best reflects the over for this group of services. If you have not used any o opinion" option.	
(no label)	Excellent
Q50: How important, overall, is this group of services	to your trial court operations?

Very Important

# Q51: Have cuts to your budget made this group of services, overall, more important or less important to your operations?

(no label)

Much more important

#### Additional Comments

ITSO maintains the networks and servers for the appellate court case management system, email, e-filing, network security, telecommunications, public website, Oracle, HREMS, SERRANUS, and the Court Appointed counsel program. In addition they provide us with the services of the California Courts Technology Center. They maintain all of the technical infrastructure services. They manage the telecommunications LAN/WAN technology refresh and the equipment trade-in. They manage all of the hardware/software updates and provide technical support for network and security issues. They develop policies and protocols for computer security for the appellate courts. ITSO provided all of the support to transition to e-filing for the appellate courts. They devoted many, many man hours and expertise to work with the third party vendor, ImageSoft, to ensure that their product worked in our environment. They had numerous technical isues to work out in order for the ImageSoft product to be compatible with ACCMS. In addition, they provided many people and man hours devoted to helping choose the vendor that all of the appellate courts were going to be using in the future. They ensured that the product would be able to integrate with the appellate case management. In addition, during the entire process they continued to work on enhancements to the appellate court case management system. They train the court administrators in each of the appellate courts and provide guidance and support for this group. They organize trainings once a year for the system administrators. They attend all of the California Court Clerks Association meetings four times per year.

GE 16: Section 3: Evaluation	
Q52: Juvenile Services	Respondent skipped this question
	st reflects the overall quality of service that you have received re not used any of the above services, please select the "no
(no label)	No Opinion
Q54: How important, overall, is this g	group of services to your trial court operations?
(no label)	Neutral
Q55: Have cuts to your budget made to your operations?	e this group of services, overall, more important or less importan
(no label)	No Change
GE 17: Section 3: Evaluation	
Q56: Language Services	Respondent skipped this

question

Q57: Please select the rating that best reflects the overall quality of service that you have received for this group of services. If you have not used any of the above services, please select the "no opinion" option.			
(no label)	No Opinion		
Q58: How important, overall, is this group of services to your trial court operations?			
(no label)	Neutral		
Q59: Have cuts to your budget made this group of se to your operations?	ervices, overall, more important or less important		
(no label)	No Change		
AGE 18: Section 3: Evaluation			
Q60: Legal Services			
189. Legal support with claims including investigations and responses	Have used this service, Consider service to be valuable		
192. Litigation management, including selection and direction of outside counsel to defend courts, judicial officers, court employees, and council members	Have used this service, Consider service to be valuable		
193. Legal advice and guidance to courts and education of court staff regarding evolving law affecting employment and labor issues	Have used this service, Consider service to be valuable		
194. Legal advice and consultation on a broad spectrum of judicial administration matters	Have used this service, Consider service to be valuable		
195. Legal advice and consultation on transactional business issues, including real estate transactions, solicitations, contracting and the procurement of goods and services, and Court/County MOUs	Have used this service, Consider service to be valuable		
196. Legal advice and representation regarding external audits/investigations	Have used this service, Consider service to be valuable		
197. Assistance with responding to subpoenas and disqualification statements	Have used this service, Consider service to be valuable		
199. Assistance with evaluation of need for and preparing requests for emergency orders	Have used this service, Consider service to be valuable		
201. Provision of legal support for acquisition, financing, construction, renovation, operation, and maintenance of court facilities	Have used this service, Consider service to be valuable		
202. Management of the Commission on Judicial Performance Insurance Program	Have used this service, Consider service to be valuable		

## Q61: Subject matter expertise and technical assistance with issues, including:

203. Access and fairness	Have used this service, Consider service to be valuable
204. Appellate practice and procedure	Have used this service, Consider service to be valuable
205. Alternative Dispute Resolution	Have used this service, Consider service to be valuable
208. Complex litigation	Have used this service, Consider service to be valuable
210. Judicial administration	Have used this service, Consider service to be valuable
211. Judicial ethics	Have used this service, Consider service to be valuable
212. Subject matter expertise	Have used this service, Consider service to be valuable

# Q62: Please select the rating that best reflects the overall quality of service that you have received for this group of services. If you have not used any of the above services, please select the "no opinion" option.

(no label)

Excellent

Q63: How important, overall, is this group of services to your trial court operations?

(no label)

Very Important

# Q64: Have cuts to your budget made this group of services, overall, more important or less important to your operations?

(no label)

Much more important

# Additional Comments

The AOC Office of Legal Services provides legal support to the appellate courts on many different levels. Members of their staff provide legal advice on the following, just to name a few: legal advice in addressing personnel issues, integrated disability leaves, worker's comp issues, updates regarding employment laws, litigation management for court lawsuits, hiring and firing issues, coordinates defense of justices and hires outside counsel when needed. In addition they drafted the Personnel Policies and Procedures Manual and drafted the Election Manual. They advise courts on the Judicial Branch Contracting Manual. They also assist in responding to Public Access requests. They provide assistance on the contracting and procurement of goods and services. The appellate courts on occasion have a need to obtain legal opinions on various legal issues and these types of issues are directed to the legal opinions unit of LSO. They provide assistance with drafting court rules and amending same. In addition they provide assistance on access and fairness issues, ADR in the courts, complex litigation, judicial administration and judicial ethics. They also manage the Commission on Judicial Performance Insurance Program. They work with OGA to assist in responding to pending legislation affecting the appellate courts. This department was instrumental during the administration that proposed selling the State Buildings including several that the Courts of Appeal and the Supreme Court occupy.

Q65: Legislative and Budget Advocacy Services	
215. Advocacy for Judicial Council positions on pending legislation and technical assistance to legislators, staff, and justice partners, on court-related legislative issues	Have used this service, Consider service to be valuable
216. Expertise and assistance with strategy, advice, and recommendations on judicial branch budget discussions	Have used this service, Consider service to be valuable
217. Tracking of statutory mandates on the Judicial Council to adopt rules, forms, and reports to the legislature	Have used this service, Consider service to be valuable
218. Liaison and strategic advice assistance on facilities issues, court construction and maintenance	Have used this service, Consider service to be valuable
219. Coordination of legislative information and investigatory hearings that impact branch programs and projects	Have used this service, Consider service to be valuable
Q66: Please select the rating that best reflects the of for this group of services. If you have not used any opinion" option.	
for this group of services. If you have not used any	
for this group of services. If you have not used any opinion" option.	of the above services, please select the "no Excellent
for this group of services. If you have not used any opinion" option. (no label)	of the above services, please select the "no Excellent
for this group of services. If you have not used any opinion" option. (no label) Q67: How important, overall, is this group of service	of the above services, please select the "no Excellent es to your trial court operations? Very Important
for this group of services. If you have not used any opinion" option. (no label) Q67: How important, overall, is this group of service (no label) Q68: Have cuts to your budget made this group of s	of the above services, please select the "no Excellent es to your trial court operations? Very Important

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Q69: Mandated Reporting	
228. Standards and Measures of Judicial Administration	Have used this service, Consider service to be valuable
Q70: Please select the rating that best reflects the over for this group of services. If you have not used any or opinion" option.	
(no label)	Excellent
Q71: How important, overall, is this group of services	to your trial court operations?
(no label)	Somewhat Important
Q72: Have cuts to your budget made this group of se to your operations?	rvices, overall, more important or less important
(no label)	No Change
AGE 21: Section 3: Evaluation	
Q73: Operations Support Services	
243. Assigned Judges Program	Have used this service, Consider service to be valuable
244. Appellate Court-Appointed Counsel Program administration and support	Have used this service, Consider service to be valuable
247. Civil Case Coordination	Have used this service, Consider service to be valuable
250. Vexatious Litigants List administration	Have used this service, Consider service to be valuable
255. Data gathering and recommendations for court operational and administrative issues	Have used this service, Consider service to be valuable

256. Assistance to court leaders with addressing internal governance, management and operational issues

257. Information-sharing through meetings of court leaders

Have used this service, Consider service to be valuable

Have used this service, Consider service to

be valuable

D

#### Q74: Analytical and administrative support to: 258. Administrative Presiding Justices Have used this service. Consider service to be valuable 259. Presiding Judges Have used this service, Consider service to be valuable 260. Appellate Court Administrators and Court Have used this service, Consider service to Executive Officers through the Administrative be valuable Presiding Justices Advisory Committee 262. California Court Clerk Association Have used this service, Consider service to be valuable 263. Appellate Indigent Defense Oversight Advisory Have used this service, Consider service to Committee (AIDOC) be valuable

Q75: Please select the rating that best reflects the overall quality of service that you have received for this group of services. If you have not used any of the above services, please select the "no opinion" option.

(no label)

Excellent

# Q76: How important, overall, is this group of services to your trial court operations?

(no label)

Very Important

# Q77: Have cuts to your budget made this group of services, overall, more important or less important to your operations?

(no label)

Much more important

# Additional Comments

This group is absolute critical to the functioning of the appellate courts. They serve as the AOC's central point of communication and coordination between the appellate court leadership and all of the AOC offices that serve the appellate courts for quality of service. Manage appellate court funds earmarked for appellate court education for justices, attorneys, staff and management. Assists in the planning and implements training of all of the above. This includes finding the locations and hotels where the training will be held. Serves as lead staff to the APJ's Advisory Committee, including planning and executing guarterly and ad hoc meetings. Staff the guarterly meetings of the Appellate Court Clerks Association and meetings of the appellate court Managing Attorneys. Process travel claims (in-state and out-of-state) and training requests for the appellate justices and staff. Serves as the AOC staff liaison for the appellate courts. Serves as the lead AOC liaison to the six Appellate Projects and California Appellate Defense Counsel, including handling all communication and attend meetings as required. Facilitates the arrangements of appellate court meetings as requested; librarians and information systems supervisors. Provides monthly review, analysis and monitoring of the appellate court appointed counsel program and expenditures for the program. Serves as staff support and prepares quarterly analytical reports for the Appellate Defense Oversight Advisory Committee and its subcommittees' audit/review meeting. Generates and reviews the compensation salary report of private counsel claims. This department is sorely understaffed given the numerous demands upon them and really needs several more staff to do their jobs effectively. However, they do a wonderful job with the people that they do have in this division. In addition, the Assigned Judges Program falls under this purview. When a justice retires or if there is a recusal within a division of the appellate court, this department locates a justice/judge to help out where needed and obtains the necessary order from the Chief Justice.

Q78: Research and Data Services	
. Annual Court Statistics Report Have used this service, Consider service to be valuable	
272. Responses to requests for branch data from internal users, members of the public, researchers, and law firms	Have used this service, Consider service to be valuable
273. Data review and reporting	Have used this service, Consider service to be valuable
Q79: Please select the rating that best reflects the of for this group of services. If you have not used any opinion" option.	
(no label)	Excellent
Q80: How important, overall, is this group of servic	es to your trial court operations?
(no label)	Very Important
Q81: Have cuts to your budget made this group of a to your operations? (no label)	Somewhat more important
Additional Comments This office tracks and produces statistical reports on all year and calendar year reports for the use of the public they conduct data quality review and confirms with the corrects data as needed.	and the appellate courts themselves. In addition
AGE 23: Section 3: Evaluation	
Q82: Security Services	
275. Judicial Online Privacy Protection Program	Have used this service, Consider service to be valuable
277. Emergency planning and preparedness/continuity of operations planning	Have used this service, Consider service to be valuable
279. Screening Equipment Replacement Program	Have used this service, Consider service to be valuable

Q83: Please select the rating that best reflects the overall quality of service that you have received	
for this group of services. If you have not used any of the above services, please select the "no	
opinion" option.	

(no label)

Excellent

Q84: How important, overall, is this group of services to your trial court operations?

(no label)

Very Important

# Q85: Have cuts to your budget made this group of services, overall, more important or less important to your operations?

(no label)

Somewhat more important

Additional Comments

This office assists us with our emergency preparedness plan (COOP) and provided us with training on how to input the information we needed for that plan. We worked together in presenting card key issues to the department of general services, and worked on various building issues together to get the hardware and software system updated and kept up to date. Members of that group have taken the lead in learning how to use the security system software and helped DGS in learning how to run reports and make essential changes to the access system. Our CHP provides us with most of the services that Office of Security provides to the trial courts.

#### PAGE 24: Section 4: Conclusion

Q86: Were you aware that the AOC provides to the trial courts all of the services included in this survey?	No
Q87: Are there any services that the AOC does not provide that you believe would be useful to the trial courts?	No

#### PAGE 25: Section 4: Conclusion

Q88: Please list any additional services, not currently offered by the AOC, that you believe would be useful to the trial courts.	Respondent skipped this question
Q89: So that we can better understand the extent to which trial courts are currently obtaining support services from entities other than the AOC, please tell us: do you contract with other courts, or have any other working relationships with other courts, in order to receive services?	No

#### PAGE 26: Section 4: Conclusion

Q90: Please list all of the services that you receive through a contract, or other working relationship, with another court(s):

Respondent skipped this question

# PAGE 27: Section 4: Conclusion

Q91: The following is an alphabetized list of all AOC offices. Please evaluate the services that each office provides according to their overall importance to the operations of your court.		
Center for Families, Children & the Courts	Neutral	
Center for Judiciary Education and Research	Very Important	
Court Operations Special Services Office	Very Important	
Criminal Justice Court Services Office	Neutral	
Executive Office	Very Important	
Fiscal Services Office	Very Important	
Human Resources Services Office	Very Important	
Information Technology Services Office	Very Important	
Internal Audit Services	Very Important	
Judicial Branch Capital Program Office	Very Important	
Judicial Council Support Services	Very Important	
Legal Services Office	Very Important	
Office of Administrative Services	Very Important	
Office of Appellate Court Services	Very Important	
Office of Communications	Very Important	
Office of Governmental Affairs	Very Important	
Office of Real Estate and Facilities Management	Very Important	
Special Projects Office	Very Important	
Trial Court Administrative Services Office	Neutral	
Trial Court Liaison Office	Neutral	

## **Q92: Additional Comments**

Although not listed in this survey, our appellate court uses the Office of Administrative Services. This office has been supporting our e-filing pilot program by printing and delivering all of the briefs to us that were filed electronically through our e-filing portal. In addition, they provide us with program brochures, printed signs and other printed materials for court sponsored programs. They also provide our specialized judicial identification cards for all of our justices.

As noted in the survey above, appellate courts are absolutely dependent upon the AOC services. Administration in each of the appellate courts is very small (a couple of people) and we have always been completely dependent upon the AOC for administrative needs. They are our human resources, financial, education, statistical, legal advice, contracting, etc. At the inception of the AOC, they originally handled the appellate court administration completely. Recent cutbacks over the past five years have made their jobs so much harder to do and that in turn, has made the jobs of the appellate courts so much harder to do also. This in turns affects the access to justice by the public that we are here to serve.

## AOC Services Survey—APPELLATE COURT ADDENDUM

The purpose of this addendum to the web-based survey (in PDF form) is to capture feedback from your appellate court on seven additional services that the AOC asserts it provides to the appellate courts.

The PDF web-based survey asks you to evaluate your overall experience with 19 AOC Service Areas, which are groupings of individual AOC services. We ask that you include your experience of the additional services, below, as you answer these questions in the PDF web-based survey. To see all services that the AOC indicates providing to courts within a given AOC Service Area, please refer to the pages in the PDF web-based survey that we have listed to the right of each AOC Service Area in the table below.

Service Descriptions		Survey Questions		
AOC Service Area	AOC Service	Have Used this Service	Consider Service to be Valuable	Page Range *
EDUCATION AND TRAINING SERVICES	Technical support of Videoconferencing on the AOC and Appellate Court network for education.	Yes	Yes	25-27
FISCAL SERVICES	Maintenance of fixed asset system.	Yes	Yes	32
HUMAN RESOURCES SERVICES	Human resources management system.	Yes	Yes	34
INFORMATION TECHNOLOGY SERVICES	Administration and management of network and servers for internet-based telephones (Voice-over Internet Protocol (VOIP)).	Yes	Yes	36
OPERATIONS SUPPORT SERVICES	Staff support to the Appellate Indigent Defense Oversight Advisory Committee	Yes	Yes	53-54
RESEARCH AND DATA SERVICES	Development of regular and ad hoc statistical reports for the courts of appeal.	Yes	Yes	56
RESEARCH AND DATA SERVICES	Assistance with workload analysis used to acquire judicial and court staff resources.	No	Yes	56

Please also complete the survey questions below, using "yes" and "no" answers: